

Last updated: 1 July 2017

## Public Meeting Register – Quarter 2/2017 – Adam Farkas

Meeting Date	Event or meeting name (Location)	Entity(ies) / Stakeholder(s) met	Subject(s) covered
3/4/2017	Speaking at Brevan Howard Centre for Financial Analysis, Imperial College Business School London, and Centre for Economic Policy Research event titled "Modelling Credit Cycles (London)		Policy Panel on "The future of Basel 3+ x"
5/4/2017	Speaking at EUROFI Forum (Malta)	Bilateral meeting with State Street:  Stefan Gmür, CEO of State Street Bank International GmbH  Stefan Gavell, EVP, Global Head, Regulatory, Industry & Government Affairs  Sven Kasper, Senior Vice President & EMEA Head, Regulatory, Industry and Government Affairs	Issues of relevance to custody banks, such as the still ongoing work on FSB and BCBS level, the EU Risk Reduction Package and Brexit



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	Speaking at BNY bilateral discussion, with title "Banking Union and the 2021 vision road-map"		Banking Union
6/4/2017	Chairing panel titled "Future of the EU banking sector and banking business models"		
		Bilateral meeting with BNY Mellon:  Adriana Pierelli, Regional Head of Southern Europe  Rosa Scappatura, MD  Veronica Iommi, Head of Regulatory and Supervisory Affairs EMEA	NPL absorbing mechanism/entity; possible positive impacts for the Italian banks in specific
		Bilateral meeting with Standard Chartered Bank: José Viñals, Chairman Edward Bowles, Regional Head of Corporate & Public Affairs Gergely Polner, Head of Europe Public Affair	Risk of global regulatory fragmentation and the CRD5 package (EU Intermediate Parent Undertaking and trade finance)



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		Bilateral meeting with BNP Paribas:  Véronique Ormezzano, Head of Group Prudential Affairs  Jean-Jacques Santini, Head of Group Public and Regulatory affairs	State of play of BCBS projects and Revision of CRR-CRD
		Meeting with Soros Asset Management Shahin Vallée, senior economist	AMC proposals
		Bilateral meeting with US treasury: Gavin Buckley, Deputy Director, Office of the International Banking and Securities Markets, US Treasury Department; Corrado Camera, Advisor to the Treasury's Representative	Banking sector situation in the EU
		Bilateral meeting with Unicredit: Aurelio Maccario, Group Regulatory Affairs Bufalini Costanza, Head of European & Regulatory Affairs	Guidelines EBA on LGD



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		Bilateral meeting with Deutsche Bank AG:	Regulatory topics
		Sylvie Matherat, Global Head of Government & Regulatory Affairs - Member of the Group Executive Committee	
11/4/2017	Meeting with British Bankers' Association, accompanying Andrea Enria (London)	Anthony Browne Ronald Kent Simon Hills	Brexit; Brexit and retail banking; Basel IV; Simple, standardised and transparent securitisation (STS); CRR changes and IFRS 9
	Meeting with Swiss Financial Market Supervisory Authority FINMA (London)	Rupert Schaefer, Head of Strategic Services Division	Finalisation of post crisis reform agenda / implementation of Basel III; proportionality principle with regard to small / medium sized banks; Fintech / regulatory approach, in particular scope of bank authorization vs. payment / emoney institutions, and protection of deposits
19/4/2017	Meeting with City; accompanying Andrea Enria (London)	James Cowles, CEO EMEA  James Bardrick, Chief Country  Officer, UK;	ESA review; Brexit: EBA policy Stance; Bank Restructuring; CRD: IPUMee
		Alan Houmann, Head of Government Affairs, EMEA;	
		Colin Church, CRO, EMEA;	
		Brad Gans, General Counsel, EMEA;	
		Howard Miller, Director – Government Affairs	



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20/4/2017	Speaking at "Risk & Regulation Dialogue meeting" organised by European Risk Management Council - ERMC (London)		Presentation: Main directions of the future of banking regulation
25/4/2017	Speaking at joint ACCA-EY event: "Risks and opportunities of Blockchain and Distributed Ledgers" (Brussels)		Topic: Virtual currencies and blockchain
	Meeting with US Treasury (Brussels)	Lawrence D. Norton - US treasury	Pan-European asset management company
27/4/2017	Meeting with European Association of Public Banks – EAPB (Brussels)	Philippe Mills, President  Marcel Roy  Emmanuel Dupuy	Legal proposals with regard to the review of the CRD/CRR and BRRD and their potential impact on the specific business models of promotional banks.
28/4/2017	Speaking at BBA Policymaker Roundtable (London)		Basel regulatory agenda
2/5/2017	Meeting with European Association of Cooperative Banks – EACB (London), accompanied by Dirk Haubrich.	Herve Guider, General Manager  Marieke van Berkel, Head of Department Retail Banking, Payments, Financial Markets	PSD; RTS customer authentication; guidelines on incident reporting; financial innovation; consumer protection issues; ESAs consumer day; ESAs review



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10/5/2017	Meeting with OMFIF & CalPERs (London)	Pooma Kimis, Deputy Managing Director of OMFIF  Mike Rosborough, Senior Portfolio Manager, Global Fixed Income & Member, Asset Allocation Committee  Lauren Rosborough Watt, Economist & Investment Manager	EU economic landscape and how impacts on investment activity in Europe
15/5/2017	Speaking at Freshfields Banking Union Conference organised in conjunction with the Institute of Law and Finance, University of FRA (Frankfurt).		Speech: "Progress in regulatory and supervisory consistency in the EU"
22/05/2017	Meeting with Unicredit, accompanied by policy experts (London).	Maccario Aurelio, Head of Group Regulatory Affairs  Tj Lim, Deputy Chief Risk Officer (supervises all UCG NPE and models related activities)  Corrado Pavanati, UniCredit's Head of Group Credit Risk Governance	UniCredit NPE strategy and planned actions for the foreseeable future on AIRB models



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23/5/2017	Meeting with Swedbank, accompanying the Chairperson with Isabelle Vaillant (London)	Johanna Orth, Head of Group Regulatory Affairs Olof Sundblad, Head of Balance Sheet Analysis - Swedbank	Standardized approach for credit risk
25/5/2017	Meeting with Parliamentary candidate for Poplar & Limehouse (London)	Elaine Bagshaw - Parliamentary candidate for Poplar & Limehouse Andrew Cregan, Local councillor	Brexit impact
1/6/2017	Speaking at the German Bundestag Committee on European Union Affairs (Berlin)		Title: "Agencies located in the UK – state of play and challenges in view of Brexit"
5/6/2017	Meeting Canadian stakeholders (London)	Henri-Paul Rousseau, Vice-Chairman, Power Corporation of Canada  Michael Horgan, Bennett Jones LLP Perre Piche, Senior Advisor, Power Corporation of Canada Graydon Paulin, Financial Sector Expert.	Integration of banking and insurance services
6/6/2017	Investor's event: Campbell Lutyens Advisory Board (London)		Title: "Outlook for banking regulation in Europe"
7/6/2017	Meeting with International Monetary Fund - IMF, accompanying Andrea Enria (London)	Jean-Pierre Landau	Evaluation on IMF financial surveillance



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10/6/2017	Lecture at CAS Financial Regulation CAS - Post Graduate Studies (Bern)		Title: "Progress in regulatory and supervisory consistency in the EU"
21/6/2017	Meeting with Asia Matters (Brussels)	Martin Murray, Executive Director	Asia Matters Conference
22/6/2017	Meeting with International Monetary Fund - IMF (London)	Jeffrey Anderson	IMF independent evaluation project
23/6/2017	Moderating panel at the ESAs Consumer Protection Day (Prague)		Panel Discussion: Retail conduct supervision – the experience from Central and Eastern Europe
26/6/2017	Meeting with International Monetary Fund - IMF, accompanying Andrea Enria along with Directors Isabelle Vaillant and Piers Haben and EBA staff (London)	James Morsink Daniel Hardy Selim Elekdag Srobona Mitra Laura Valderrama Jennifer Elliott	FSAP; Oversight; Regulation; Stress Testing
27/6/2017	Speaking at the German Bundestag event organized by CSU fraction of the German Bundestag and the Hanns-Seidel Foundation (HSS) (Berlin)		Title of the event: "Financial Market Regulation – Retrospective & Outlook"