

Annex 3: EBA 2020 amending budget

EUROPEAN BANKING AUTHORITY						
Title						
Chapter						
Article	Current year budget line description	Note	INITIAL BUDGET 2020	Amendment	AMENDED BUDGET 2020	Remarks
Line						
	REVENUE					
1	Contribution from EU national competent authorities					
10	Contribution from EU national competent authorities					
1000	Contribution from EU national competent authorities		30 440 884	-2 187 845	28 253 039	Art. 62 of Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC.
2						
20	European Community Contribution					
2000	Contribution from the European Union		19 076 140	-1 416 000	17 660 140	A contribution for the Authority is entered in the general budget of the EU. The revenue entered represents the contribution provided.
3						
30	Fees paid to the authority					
3000	Fees from the supervised Entities					
4						
40	Contributions from EEA EFTA					
4000	Contributions from EEA EFTA national competent authorities		864 798	- 62 155	802 643	Art. 62 of Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC.
4100	Contribution from EEA EFTA states		-	-	-	- These contributions are not receivable under the adopted EEA agreement.
5						
50	Contribution from the Host Member State					
5000	Contribution from the Host Member State	1		-	p.m.	Contributions from the host member state will constitute external assigned revenue in accordance with Article 20 of the EBA financial regulation.
6						
60	Revenue from operations					
6000	Revenue from supervisor training fees and other operations		p.m.	-	p.m.	Revenue received for EBA services.
6001	Revenue from bank interest and other items		p.m.	-	p.m.	Revenue from bank interest and other items.
7	Administrative operation					
70	Correction of Budgetary imbalances					
7000	Correction of Budgetary imbalances balance of the outturn account					
9						

Title						
Chapter						
Article	Current year budget line description	Note	INITIAL BUDGET 2020	Amendment	AMENDED BUDGET 2020	Remarks
Line						
90	Miscellaneous revenue					
9000	Miscellaneous revenue		p.m.	-	p.m.	Other miscellaneous revenue
TOTAL REVENUE			50 381 822	-3 666 000	46 715 822	

Title						
Chapter						
Article	Current year budget line description	Note	INITIAL BUDGET 2020	Amendment	AMENDED BUDGET 2020	Remarks
Line						
	EXPENDITURE	2				
1	STAFF EXPENDITURE					
11	Staff in active employment					
110	<i>Staff holding a position in the establishment plan</i>					
1100	Basic salaries		13 472 296	- 292 200	13 180 096	Staff regulations of officials of the European Communities (hereinafter "Staff Regulations"), and in particular Art. 62 and Art. 66 applicable. Covers the basic salaries of officials and temporary staff holding posts on the establishment plans.
1101	Family allowances		1 241 398	-	1 241 398	Staff Regulations, and in particular Articles 42a, 42b, 62, 67 and 68a thereof, Article 3.2 of Annex VII thereto. Covers family allowances: household allowance, dependent child allowance, pre-school allowance, education allowance and parental leave allowance of relevant staff.
1102	Expatriation and foreign residence allowances		2 128 776	- 14 500	2 114 276	Staff Regulations, and in particular Articles 62 and 69 thereof and Art. 4 of Annex thereto. Covers the expatriation and foreign residence allowances of relevant staff.
110	<i>Total article</i>		16 842 470	- 306 700	16 535 770	
111	<i>Other staff under Staff Regulations</i>					
1110	Seconded national experts		665 700	-	665 700	Daily, monthly and travel allowances for Secondment of National Experts. Conditions of employment of other servants of the European Union, and in particular Art.3a and Title IV thereof. Covers the basic remuneration of contract agents excluding the amounts paid in the form of weightings, which are charged to item 1160. The social security and pension contributions are charged to Article 113.
1111	Contract agents		3 052 124	- 208 000	2 844 124	Monthly maintenance grant and travel in/out allowances for trainees as per EBA policy.
1112	Trainees		418 200	- 85 000	333 200	
111	<i>Total article</i>		4 136 024	- 293 000	3 843 024	
113	<i>Contributions by the agency to social security</i>					
1130	Insurance against sickness		550 054	- 11 000	539 054	Staff Regulations, and in particular Art. 72 thereof. Rules on sickness insurance for officials of the European Communities, and in particular Art. 23 thereof. Covers the Authority's sickness contributions.
1131	Insurance against accidents and occupational disease		61 762	- 1 800	59 962	Staff Regulations, and in particular Art. 73 thereof and Art. 15 of Annex VIII thereto. Covers the Authority's contributions towards insurance against accidents and occupational diseases and the supplementary expenditure arising from the application of the statutory provisions in this area.
1132	Insurance against unemployment		211 475	- 4 000	207 475	Conditions of employment of other servants of the European Communities, and in particular Art. 28 and 96 thereof. Covers the cost of unemployment insurance for relevant staff.
1133	Employers pension contributions		1 882 934	- 70 025	1 812 909	Cost of employers pension as from Commission Decision on Staff Regulations, and in particular Article 83a paragraph 2. This represents the amount to be funded by NCA.
113	<i>Total article</i>		2 706 225	- 86 825	2 619 400	

Title						
Chapter						
Article	Current year budget line description	Note	INITIAL BUDGET 2020	Amendment	AMENDED BUDGET 2020	Remarks
Line						
114	Miscellaneous allowances and grants					Staff Regulations, and in particular Art. 70, 74 and 75 thereof. Covers: - birth grants; - in the event of an official's death: the deceased's full remuneration until the end of the third month following that in which death occurred; the costs of transporting the body of the deceased's place of origin. Staff Regulations (Articles 72 and 23) applicable to Temporary Agents. Compensation in the event of dismissal of staff during or after probation for obvious inadequacy (Art. 34 of the SR) and compensations in the event of cancellation of the contract by the Agency (Art. 34)
1142	Other allowances and repayments		240 000	-	240 000	
114	<i>Total article</i>		240 000	-	240 000	
115	Overtime					
1150	Overtime		-	-	-	Staff Regulations, and in particular Art. 56 and Annex VI thereto. This article covers flat-rate allowances and payments at hourly rates for overtime worked
115	<i>Total article</i>		-	-	-	
116	Salary weighting and exchange rate					
1160	Salary weighting		3 326 743	- 103 000	3 223 743	Staff Regulations, and in particular Art. 64 and 65 thereof and Art. 17 (3) of Annex VII thereto. Covers the cost of weightings applied to the remuneration of relevant staff.
116	<i>Total article</i>		3 326 743	- 103 000	3 223 743	
11	Total chapter		27 251 462	- 789 525	26 461 937	
12	Expenditure relating to staff management and recruitment					
120	Expenditure on recruitment procedure					
1200	Expenditure on recruitment procedure		117 600	- 49 000	68 600	Expenditure arising from recruitment procedures, in particular the travel costs of applicants attending for written tests, interviews and pre-employment medical examinations, and mission costs of external panel members
120	<i>Total article</i>		117 600	- 49 000	68 600	

Title						
Chapter						
Article	Current year budget line description	Note	INITIAL BUDGET 2020	Amendment	AMENDED BUDGET 2020	Remarks
Line						
121	<i>Travel expenses of recruited staff and family</i>					
1210	Travel expenses of recruited staff and family		19 200	- 15 000	4 200	Staff Regulations, and in particular Art. 20 and 71 thereof and Art. 7 Annex VII thereto. Covers travel expenses due to relevant staff (including their families) on taking up their duties or leaving the institution or transfer to another place of employment.
121	<i>Total article</i>		19 200	- 15 000	4 200	
122	<i>Installation, resettlement and transfer allowances</i>					
1220	Installation, resettlement and transfer allowances		265 000	- 126 000	139 000	Staff Regulations, and in particular Art. 5 and 6 of Annex VII thereto. Covers installation and resettlement allowances due to relevant staff obliged to change their place of residence on taking up their duties, on transfer to a new place of employment and upon finally leaving the institution and resettling elsewhere.
122	<i>Total article</i>		265 000	- 126 000	139 000	
123	<i>Removal expenses</i>					
1230	Removal expenses		179 000	- 155 000	24 000	Staff Regulations, and in particular Art. 20 and 71 thereof and Art. 20 of Annex VII thereto. Covers temporary daily subsistence allowances for relevant staff who furnish evidence that they must change their place of residence on taking up their duties, or transferring to a new place of employment.
123	<i>Total article</i>		179 000	- 155 000	24 000	
124	<i>Temporary daily subsistence allowances</i>					
1240	Temporary daily subsistence allowances		112 697	- 38 000	74 697	Staff Regulations, and in particular Art. 20 and 71 thereof and Art. 20 of Annex VII thereto. Covers temporary daily subsistence allowances for relevant staff who furnish evidence that they must change their place of residence on taking up their duties, or transferring to a new place of employment.
124	<i>Total article</i>		112 697	- 38 000	74 697	
129	<i>External services</i>					
1290	External services and consultations		141 079	-	141 079	Services of interim staff and other staff-related external services such as PMO charges, travel insurance for missions and cost of publishing vacancies
129	<i>Total article</i>		141 079	-	141 079	
12	Total chapter		834 576	- 383 000	451 576	

Title						
Chapter						
Article	Current year budget line description	Note	INITIAL BUDGET 2020	Amendment	AMENDED BUDGET 2020	Remarks
Line						
13	Mission expenses, travel and incidental expenses					
130	Administrative mission expenses					
1300	Administrative mission expenses		85 866	- 67 000	18 866	Mission expenses, expenditure on transport, daily mission allowances, and other ancillary or exceptional expenditure incurred by staff of the Authority on mission.
130	<i>Total article</i>		85 866	- 67 000	18 866	
13	Total chapter		85 866	- 67 000	18 866	
14	Socio-medical infrastructure					
140	Medical service					
1400	Medical service		102 780	- 52 000	50 780	Costs of medical services, including medical visits, annual medical check-ups, preventive medical examinations and certain vaccinations.
140	<i>Total article</i>		102 780	- 52 000	50 780	
141	EBA Education contribution					
1410	EBA Education contribution		727 728	- 92 000	635 728	EBA Education contribution for EBA staff members - Staff Regulations Annex VII, art. 3, related to school fees within the provisions decided by the Management Board. Also includes expenditure relating to Early Childhood Centres and crèches.
141	<i>Total article</i>		727 728	- 92 000	635 728	
142	Other socio-medical contributions					
1420	Other socio-medical contributions		163 930	- 87 975	75 955	Cost of canteen, home office and other contributions for EBA staff members.
142	<i>Total article</i>		163 930	- 87 975	75 955	
14	Total chapter		994 438	- 231 975	762 463	
15	Staff training					
150	Staff training					
1500	Staff training		407 173	- 7 000	400 173	Staff Regulations, and in particular Art. 24 (a) thereof and Art. 11 and 81 of the CEOS. Covers the costs of language courses as well as learning and development (including courses, events, seminars, information sessions both in-house and outside the EBA) for all staff.
150	<i>Total article</i>		407 173	- 7 000	400 173	
15	Total chapter		407 173	- 7 000	400 173	

Title						
Chapter						
Article	Current year budget line description	Note	INITIAL BUDGET 2020	Amendment	AMENDED BUDGET 2020	Remarks
Line						
17	Representation expenses, receptions and events					
170	<i>Representation expenses, receptions and events</i>					
1700	Representation, receptions, team building, and social activities for staff members		73 630	- 72 000	1 630	Covers expenditure on the Authority's obligations in respect of representation especially linked with receptions. It also covers team building events and social activities cost for staff members, and costs related to the EBA staff committee.
170	<i>Total article</i>		73 630	- 72 000	1 630	
17	Total chapter		73 630	- 72 000	1 630	
1	TOTAL TITLE 1		29 647 145	-1 550 500	28 096 645	
2	INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE					
20	Rental of building and associated costs					
200	<i>Rental of building</i>					
2000	Rental of building		4 570 724	- 46 000	4 524 724	Rent relating to occupied buildings or part of buildings. In 2020 includes: repayment of 16 months of rent-free period received from Canary Wharf for One Canada Square.
200	<i>Total article</i>		4 570 724	- 46 000	4 524 724	
201	<i>Insurance</i>					
2010	Insurance		45 711	-	45 711	Insurance premiums on the buildings or part of buildings occupied by the EBA, as well as for contents and civil liability insurances.
201	<i>Total article</i>		45 711	-	45 711	
202	<i>Utilities and building and estate charges</i>					
2020	Utilities and building and estate charges		968 408	- 55 000	913 408	Electricity, water and associated charges. Estate and building service charges, in accordance with the leases of One Canada Square and of Europlaza.
202	<i>Total article</i>		968 408	- 55 000	913 408	
203	<i>Maintenance, cleaning and repairs</i>					
2030	Maintenance, cleaning and repairs		318 882	- 1 000	317 882	Maintenance costs for premises, lifts, central heating, air-conditioning equipment, etc.; regular cleaning operations, maintenance, washing, laundry and dry-cleaning products, etc.; repainting and repair services and associated supplies.
203	<i>Total article</i>		318 882	- 1 000	317 882	

Title						
Chapter						
Article	Current year budget line description	Note	INITIAL BUDGET 2020	Amendment	AMENDED BUDGET 2020	Remarks
Line						
204	<i>Business rates and other taxes</i>					
2040	Business rates and other taxes		38 846	-	38 846	Business rates payable to UK government for One Canada Square. Local taxes to be paid to the French authorities.
204	<i>Total article</i>		38 846	-	38 846	
205	<i>Fitting out premises and refurbishment works</i>					
2050	Fitting out premises and refurbishment works		3 470 105	- 48 000	3 422 105	Covers the fitting-out of buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc. It also covers the necessary equipment and furnishings. In 2020 budget year, it includes the cost of dilapidations on the London office space at One Canada Square.
205	<i>Total article</i>		3 470 105	- 48 000	3 422 105	
20	Total chapter		9 412 676	- 150 000	9 262 676	
21	Information and communication technology					
210	<i>Software package and information systems</i>					
2100	Software package and information systems		611 083	- 24 000	587 083	Used to purchase or rent "common" software and includes installation, maintenance and support for the software including all related expenses, where the software is being used for administrative purposes. This includes e.g. <u>operating systems, office software, database software etc.</u>
210	<i>Total article</i>		611 083	- 24 000	587 083	
211	<i>Computing and telecommunications machinery equipment and supplies</i>					
2110	Computing and telecommunications machinery equipment and supplies		132 700	-	132 700	Covers purchase and rental of "common" hardware and includes the installation, maintenance, support and all related expenses, where the hardware is being expended for administrative purposes. This includes e.g. PCs, monitors, printers and supplies, network equipment, telephones, etc.
211	<i>Total article</i>		132 700	-	132 700	
212	<i>IT Services: consulting software development and support</i>					
2120	IT Services: consulting software development and support		2 296 382	-	2 296 382	Covers purchase of "common" services such as consultancy services, software development and support services for administrative purposes. This includes e.g. services for IT Support, having software developed or customized, IT infrastructure support, etc., including the full cost of EBA data centres.
212	<i>Total article</i>		2 296 382	-	2 296 382	
21	Total chapter		3 040 165	- 24 000	3 016 165	
23	Current administrative expenditure					
230	<i>Main current administrative expenditure</i>					

Title						
Chapter						
Article	Current year budget line description	Note	INITIAL BUDGET 2020	Amendment	AMENDED BUDGET 2020	Remarks
Line						
2300	Stationary supplies and other admin charges		99 190	- 15 000	84 190	Office stationery, including supplies for reprographics and external printing services. Office supplies and items, including water, coffee, milk, etc.; office services such as offsite storage and confidential waste shredding. Covers postal and delivery charges for ordinary mail and couriers.
230	<i>Total article</i>		99 190	- 15 000	84 190	
232	<i>Legal expenses</i>					
2320	Legal advice and consultations		85 632	-	85 632	Covers the cost of external legal consultancy and services.
232	<i>Total article</i>		85 632	-	85 632	
233	<i>Other administrative operating expenses</i>					
2332	Other administrative expenditure and consulting expenses		354 130	-	354 130	Covers sundry administrative services related to administrative matters, including reception, missions and handyman services; health & safety assessments; EMAS consultancy and related services; agency network contribution; bank fees and charges; annual audit fees; security passes etc.
233	<i>Total article</i>		354 130	-	354 130	
23	Total chapter		538 952	- 15 000	523 952	
24	Postage and telecommunications					
241	<i>Telecommunication services</i>					
2410	Telecommunication services		84 000	- 18 000	66 000	Covers all telecommunication-related charges for landlines, mobile lines, teleconference facilities and related services.
241	<i>Total article</i>		84 000	- 18 000	66 000	
24	Total chapter		84 000	- 18 000	66 000	
25	Information and publishing					
250	<i>Communications, publications and translations costs</i>					
2500	Communications, publications and translation - administrative costs		200 178	- 21 000	179 178	Covers editing, translation and publishing expenses related to administration.
2501	Website		168 616	-	168 616	Cost of maintaining and developing the website of the authority.
2502	Press and policy monitoring services, subscriptions and library acquisitions		129 181	-	129 181	Press monitoring services and media databases, paper and online subscriptions to newspapers and periodicals, library books, and related items and services.
250	<i>Total article</i>		497 975	- 21 000	476 975	
25	Total chapter		497 975	- 21 000	476 975	

Title						
Chapter						
Article	Current year budget line description	Note	INITIAL BUDGET 2020	Amendment	AMENDED BUDGET 2020	Remarks
Line						
26	Meeting expenses					
260	<i>Administrative meeting expenses</i>					
2600	Administrative meeting expenses		-	-		- Cost of hire of rooms, lunches, refreshments and external staff hired for events.
260	<i>Total article</i>		-	-		-
26	Total chapter		-	-		-
2	TOTAL TITLE 2		13 573 768	- 228 000	13 345 768	
3	OPERATIONAL EXPENDITURE					
31	General Operational Expenditure					
310	<i>Seminars and workshops</i>					
3100	Seminars and workshops		120 500	- 120 500		The EBA shall play an active role in building a common Union supervisory culture and consistent supervisory practices, as well as ensuring uniform procedures and consistent approaches throughout the Union. The EBA will therefore organise sectoral and cross sectoral training for external stakeholders. This will cover the costs of developing and organising the training, including online courses, and costs of attendance of speakers and participants.
310	<i>Total article</i>		120 500	- 120 500		-
311	<i>Operational missions and meetings</i>					
3110	Operational missions		778 687	- 654 000	124 687	Operational missions of EBA staff.
3111	General Operational meetings costs		653 950	- 416 000	237 950	Operational meeting costs related to EBA sub-groups, standing committees and working groups, and the like, held within or outside EBA premises. Includes costs for catering, service staff, rental of rooms, services for the technical set up of the meeting rooms, rental of audio-visual equipment, handyman work for rearranging and set up of room layouts, purchase of meeting-related goods and services. Includes dinners and restaurant visits of EBA working groups (one/year) and reimbursement of external participants including speakers and panel discussants. Includes: Cost of dinners provided to Board of Supervisors (BoS) members and the reimbursement of other expenses; travel and hotel expenses for Board of Appeal (BoA) members, hire of rooms, lunches, refreshments, etc. dinners provided to BoA members and the reimbursement of expenses, including costs for members' time; Reimbursement of travel costs for Banking Stakeholders Group (BSG) members representing non-profit organisations and/or academics participating at EBA working groups and meetings. Includes daily allowances for BSG members. All of which in accordance with the related decisions.
311	<i>Total article</i>		1 432 637	-1 070 000	362 637	
312	<i>Operational consulting services</i>					
3120	Operational consulting services		541 000	-	541 000	Consulting services in relation to operational matters.
3122	Subscription to Data Services and database of financial and market data		267 189	-	267 189	Cost of data services and financial market data.

Title						
Chapter						
Article	Current year budget line description	Note	INITIAL BUDGET 2020	Amendment	AMENDED BUDGET 2020	Remarks
Line						
312	<i>Total article</i>		808 189	-	808 189	
313	<i>Communication and publication activities, including operational translations costs</i>					
3130	Communication and publication activities, including operational translations costs		923 364	- 120 000	803 364	Covers cost of editing, translation and publication of document related to the activity of the Agency, i.e. RTS, guidelines, stress tests results etc.
313	<i>Total article</i>		923 364	- 120 000	803 364	
31	Total chapter		3 284 690	-1 310 500	1 974 190	
32	IT Expenses for operational purposes					
320	<i>Software package and information systems</i>					
3200	Software package and information systems		971 019	-	971 019	Purchase or rent of operational software, including installation, maintenance, support and related expenses.
320	<i>Total article</i>		971 019	-	971 019	
321	<i>Computing and telecommunications machinery equipment and supplies</i>					
3210	Computing and telecommunications machinery equipment and supplies		-	-	-	Purchase or rent of operational hardware, including installation, maintenance, support and related expenses.
321	<i>Total article</i>		-	-	-	
322	<i>IT services: consulting software development and support</i>					
3220	IT services: consulting software development and support		2 905 200	- 577 000	2 328 200	Purchase of IT services for operational purposes. This includes IT software development services, system and technical consultancy services, IT Support services, IT infrastructure support, etc.
322	<i>Total article</i>		2 905 200	- 577 000	2 328 200	
32	Total chapter		3 876 219	- 577 000	3 299 219	
3	TOTAL TITLE 3		7 160 909	-1 887 500	5 273 409	
	TOTAL EXPENDITURE		50 381 822	-3 666 000	46 715 822	
1	STAFF EXPENDITURE		29 647 145	-1 550 500	28 096 645	
2	INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE		13 573 768	- 228 000	13 345 768	
3	OPERATIONAL EXPENDITURE		7 160 909	-1 887 500	5 273 409	
	TOTAL EXPENDITURE		50 381 822	-3 666 000	46 715 822	

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Line						

Notes:

- 1 In 2019, the EBA received EUR 2 500 000 from the French government as a contribution towards the lease costs for the Paris offices, as per the French government's bid to host the EBA and the subsequent agreement between the two parties, of which EUR 670 938 was paid out in 2019. In 2020, the EBA received a further EUR 500 000 under the same agreement, and has paid out EUR 2 234 635. The balance of EUR 94 427 will be carried forward to 2021.
- 2 Expenditure budget lines may receive assigned revenue.