

London, 16 December 2011

## **Compliance Table: Guidelines on Internal Governance (GL 44)**

Based on information supplied by them, the following competent authorities comply or intend to comply with: EBA's Guidelines on Internal Governance (GL 44, published 27 September 2011).

<b>Member State</b>		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
BE	Belgium	National Bank of Belgium	<b>Yes</b>	
BG	Bulgaria	Bulgarian National Bank	<b>Yes</b>	
CZ	Czech Republic	Česká Národní Banka	<b>Yes</b>	
DK	Denmark	Finanstilsynet	<b>Yes</b>	
DE	Germany	BaFin	<b>Yes</b>	
EE	Estonia	Finantsinspektsioon	<b>Yes</b>	
IE	Ireland	Central Bank of Ireland	<b>Yes</b>	
EL	Greece	Bank of Greece	<b>Yes</b>	
ES	Spain	Banco de Espana	<b>Yes</b>	
FR	France	Autorite de Control Prudentiel	<b>Yes</b>	
IT	Italy	Banca d'Italia	<b>Yes</b>	
CY	Cyprus	Central Bank of Cyprus	<b>Yes</b>	

LV	Latvia	Finansu un Kapitāla tirgus Komisija	<b>Yes</b>	
LT	Lithuania	Lietuvos Bankas	<b>Yes</b>	
LU	Luxembourg	Commission de Surveillance du Secteur Financier	<b>Yes</b>	
HU	Hungary	Penzugyi Szervezetek Allami Felugyelete	<b>Yes</b>	
MT	Malta	Malta Financial Services Authority	<b>Yes</b>	
NL	Netherlands	De Nederlandsche Bank	<b>Yes</b>	
AT	Austria	Finanzmarktaufsicht	<b>Yes</b>	
PL	Poland	Komisja Nadzoru Finansowego	<b>Yes</b>	
PT	Portugal	Banco de Portugal	<b>Yes</b>	
RO	Romania	National Bank of Romania		Answer not provided, yet.
SI	Slovenia	Banka Slovenije	<b>Yes</b>	
SK	Slovakia	Národná Banka Slovenska	<b>Yes</b>	
FI	Finland	Finanssivalvonta	<b>Yes</b>	
SE	Sweden	Finansinspektionen	<b>Yes</b>	
UK	United Kingdom	Financial Services Authority	<b>Yes</b>	

EFTA State <sup>1</sup>		Competent authority	Complies or intends to comply	Comments
IS	Iceland	Fjármálaeftirlitið	No answer received	See footnote 1
LI	Liechtenstein	Finanzmarktaufsicht	No answer received	See footnote 1
NO	Norway	Finanstilsynet	Yes	See footnote 1

### Notes

Article 16(3) of the EBA Regulation requires national competent authorities to inform the EBA within two months after the publication of the EBA guidelines or recommendations whether they comply or intend to comply with each set of these guidelines or recommendations. If a competent authority does not comply or does not intend to comply it must inform the EBA of the reasons. The EBA decides on a case by case basis whether to publish reasons.

Although EBA tries to ensure the accuracy of this document, EBA relies on information provided by the competent authorities and therefore cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website ([www.eba.europa.eu](http://www.eba.europa.eu)).

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<sup>1</sup> EFTA State competent authorities are not currently required to confirm compliance with EBA guidelines and recommendations. This table is based on information provided on a voluntary basis.